



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Joseph P. Bort MetroCenter  
101 Eighth Street  
Oakland, CA 94607-4700  
Tel: 510.464.7700  
TDD/TTY: 510.464.7769  
Fax: 510.464.7848

*Memorandum*

TO: Operations Committee

DATE: November 2, 2012

FR: Executive Director

W.I.: 1231

RE: First Quarter SAFE Financial Statements September 2012

Attached for Committee receipt are the SAFE financial statements for the first quarter ending September 30, 2012.

**Callbox Program:**

Vehicle registration fees for the call box program are slightly higher than the budgeted amount. However, vehicle registration fees are lower in comparison to last year due to Senate Bill 94, which delayed vehicle registration fees for FY 2010-11 to the first quarter of FY 2011-12. Other revenue includes \$10,418 of reimbursements for damaged call boxes.

**Capital Program:**

The information for the capital program shows life to date balances for budget and actual. BATA transferred \$3,511,000 for the toll bridge call box installation and \$3,164,844 has been expended.

If you have any questions about this report, please contact Brian Mayhew at (510) 817-5730.

  
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Steve Heminger

SH:ES

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MTC Service Authority for Freeways and Expressways  
Operating Budget  
As of September 2012

	1	2	3	4	5	6
	FY 2012-13 Budget	Actual YTD	Budget Balance	% of Budget (col 2/1)	% of Budget year Expired	Encumbrances
<b><u>Callbox Program</u></b>						
REVENUE:						
Vehicle Registration Fees	5,900,000	1,532,811	4,367,189	26.0%	25.0%	
Surface Transportation Program (STP)	1,200,000	55,534	1,144,466	4.6%	25.0%	
CMAQ	1,000,000	365,062	634,938	36.5%	25.0%	
Interest Income	10,000	1,286	8,714	12.9%	25.0%	
Other Revenue	-	10,418 *	(10,418)	0.0%	25.0%	
<b>Total Revenue</b>	<b>8,110,000</b>	<b>1,965,111</b>	<b>6,144,889</b>	<b>24.2%</b>	<b>25.0%</b>	
EXPENSE:						
Salaries and Benefits	1,954,033	385,507	1,568,526	19.7%	25.0%	-
General Operations	1,294,017	284,265	1,009,752	22.0%	25.0%	8,131
Consultant Services	361,000	30,319	330,681	8.4%	25.0%	171,153
Callbox Operating Expense	1,451,000	133,290	1,317,710	9.2%	25.0%	1,125,830
<b>Total Expense</b>	<b>5,060,050</b>	<b>833,381</b>	<b>4,226,669</b>	<b>16.5%</b>	<b>25.0%</b>	<b>1,305,114</b>
OPERATING TRANSFERS In (Out):						
MTC	(1,078,665)	(6,813)	(1,071,852)	0.6%	25.0%	-
FSP	(2,819,675)	(363,580)	(2,456,095)	12.9%	25.0%	-
Safe Capital	154,620	-	154,620	0.0%	25.0%	-
Operating Reserve	693,770	(761,338)	1,455,108	-109.7%	25.0%	-
<b>Total Transfers</b>	<b>(3,049,950)</b>	<b>(1,131,731)</b>	<b>(1,918,219)</b>	<b>37.1%</b>	<b>25.0%</b>	<b>-</b>
NET Operating Revenue (Exp)	-	(0)	0			

\* Revenue is from damaged call boxes.

	1	2	3	4	5	6
	FY 2012-13 Budget	Actual YTD	Budget Balance Over (Under)	% of Budget (col 2/1)	% of Budget year Expired	Encumbrances
<b><u>FSP Program</u></b>						
REVENUE:						
Local Assistance Program (LAP)	5,750,000	1,074,055	(4,675,945)	18.7%	25.0%	
CMAQ	150,000	64,651	(85,349)	43.1%	25.0%	
Surface Transportation Program (STP)	2,007,500	896,782	(1,110,718)	44.7%	25.0%	
Traffic Mitigation Program	100,000	4,701	(95,299)	4.7%	25.0%	
<b>Total Revenue</b>	<b>8,007,500</b>	<b>2,040,189</b>	<b>(5,967,311)</b>	<b>25.5%</b>	<b>25.0%</b>	
EXPENSE:						
Salaries and Benefits	454,980	66,912	(388,068)	14.7%	25.0%	-
General Operations	325,226	70,201	(255,025)	21.6%	25.0%	2,763
Consultant Services	516,624	28,372	(488,252)	5.5%	25.0%	163,252
Freeway Serv Operating Expense	9,530,345	2,238,284	(7,292,061)	23.5%	25.0%	7,291,272
<b>Total Expense</b>	<b>10,827,175</b>	<b>2,403,769</b>	<b>(8,423,407)</b>	<b>22.2%</b>	<b>25.0%</b>	<b>7,457,288</b>
TRANSFERS In (Out):						
Transfers from Callbox	2,819,675	363,580	(2,456,095)	12.9%	25.0%	-
<b>Total Transfers</b>	<b>2,819,675</b>	<b>363,580</b>	<b>(2,456,095)</b>	<b>12.9%</b>	<b>25.0%</b>	<b>-</b>
Ending Balance	(0)	-	-			

MTC Service Authority for Freeways and Expressways  
As of September 2012

	1	2	4	3
<i>Capital Program</i>	LTD Budget			Project Balance
	Thru FY 2012-13	Actual LTD	Encumbrance	LTD
<b>REVENUE:</b>				
1. CMAQ	23,901,710	9,219,506	-	14,682,204
2. Caltrans	1,901,031	1,494,416	-	406,615
3. Solano Transportation Authority	150,000	163,893	-	(13,893)
4. Miscellaneous	516,000	250,817	-	265,183
5. STP	3,678,000	-	-	3,678,000
<b>Total Revenue</b>	<b>30,146,741</b>	<b>11,128,632</b>	<b>-</b>	<b>19,018,109</b>
<b>EXPENSE:</b>				
6301 Bridge Callboxes	3,771,000	3,164,844	164,448	441,708
6306- Data - AVL telecom system update	1,370,000	1,084,332	282,977	2,691
6303- Bay Area Camera Upgrade	9,572,583	7,522,990	1,926,891	122,702
6304-Traffic Equip Mgt Sys TEMS	750,000	681,452	18,548	50,000
6305- SAFE Incident Mgmt Projects	180,000	99,879	-	80,121
6307- CHP Radio interoperability	430,000	244,159	117,768	68,073
6310-ATMS	1,701,031	1,483,629	217,401	-
6311-I-880 ICM	8,025,000	907,507	1,094,267	6,023,226
6314-Callbox Site Mitigation	1,000,000	659,127	146,757	194,116
6315-Freeway Performance Initiative	5,256,000	3,085,943	939	2,169,118
6317-FPI Implementation	10,022,800	888,696	1,803,922	7,330,182
<b>Total Expense</b>	<b>42,078,414</b>	<b>19,822,558</b>	<b>5,773,918</b>	<b>9,151,755</b>
<b>TRANSFERS In/(Out):</b>				
BATA	3,711,000	3,511,000	-	200,000
Callbox Reserve	8,220,673	5,182,926	-	3,037,747
<b>Total Transfers</b>	<b>11,931,673</b>	<b>8,693,926</b>	<b>-</b>	<b>3,237,747</b>
<b>Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$100,000 and Under**

<u>Consultant</u>	<u>Purpose</u>	<u>July'12 - Sept'12</u>
<i>Carter Wetch and Associates</i>	<i>Legislative Consulting</i>	17,900
<i>K &amp; S Towing Inc.</i>	<i>Tow Beat</i>	18,594
<i>Crystal Communications</i>	<i>Radio Air Coverage</i>	18,100
<i>Teletran Tek</i>	<i>Motorist Aid System Support</i>	10,232
<i>Wells Fargo Bank</i>	<i>Insurance</i>	3,000
<i>B &amp; A Body Works and Towing Inc.</i>	<i>Tow Beat</i>	93,216
<i>Sierra Hart Inc.</i>	<i>Tow Beat</i>	96,706
<i>Stanley Bricker</i>	<i>Callbox Inspection</i>	39,999
<i>Absolute Wireless</i>	<i>Voice and Data Communication Equip. Maintenance</i>	55,000

## PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR

**July'12-Sept'12**

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<i>CDW Government Inc.</i>		2,500
	<i>Operations Supplies</i>	
<i>Central Computers</i>		2,500
	<i>Computer Supplies</i>	
<i>Sprint</i>		93,000
	<i>Cellular Service</i>	
<i>AT&amp;T</i>		65,928
	<i>Wireline Telecom Charges</i>	
<i>US CommLink Ltd.</i>		20,000
	<i>Diagrams and Drawings</i>	
<i>Hamilton/Broadway Signs</i>		2,969
	<i>FSP Merchandise</i>	
<i>Case Systems Inc.</i>		22,045
	<i>Installation of Call Boxes</i>	
<i>Sierra Hart Inc.</i>		2,576
	<i>Tow Beat</i>	
<i>International Programming &amp; Systems</i>		34,958
	<i>Invoice Tracking System</i>	
<i>Dakota Press</i>		8,127
	<i>FSP Brochures</i>	

This bar chart displays monthly expenditures for five fiscal years: FY 09, FY 10, FY 11, FY 12, and FY 13. The vertical axis (Y-axis) measures the expenditure in dollars, ranging from \$0 to \$800,000 in increments of \$100,000. The horizontal axis (X-axis) lists the months from July to June. For each month, five bars represent the expenditures for the respective fiscal years. FY 13 is distinguished by an orange line with circular markers connecting the tops of its bars. The chart shows that FY 12 generally had the highest monthly expenditures, peaking in August at nearly \$700,000. FY 13's expenditures were relatively stable, starting around \$530,000 in July and ending around \$460,000 in June. FY 11 and FY 10 show more variability, with FY 11 peaking in August and FY 10 peaking in May and June.

Month	FY 09	FY 10	FY 11	FY 12	FY 13
Jul	\$540,000	\$540,000	\$510,000	\$670,000	\$530,000
Aug	\$500,000	\$500,000	\$570,000	\$680,000	\$550,000
Sept	\$500,000	\$500,000	\$470,000	\$510,000	\$460,000
Oct	\$480,000	\$480,000	\$440,000	\$460,000	
Nov	\$400,000	\$420,000	\$470,000	\$440,000	
Dec	\$490,000	\$490,000	\$480,000	\$460,000	
Jan	\$490,000	\$450,000	\$490,000	\$500,000	
Feb	\$460,000	\$460,000	\$470,000	\$500,000	
Mar	\$540,000	\$540,000	\$520,000	\$510,000	
Apr	\$510,000	\$510,000	\$480,000	\$500,000	
May	\$540,000	\$500,000	\$430,000	\$560,000	
Jun	\$570,000	\$540,000	\$320,000	\$510,000	

Thousands

\$7,000

\$6,000

\$5,000

\$4,000

\$3,000

\$2,000

\$1,000

\$-

Jul Aug Sept Oct Nov Dec Jan Feb Mar Apr May Jun

FY 07

FY 08

FY 09

FY 10

FY 11

FY 12

FY 13

FY12 1,875

FY13 1,533